

FIG. 1

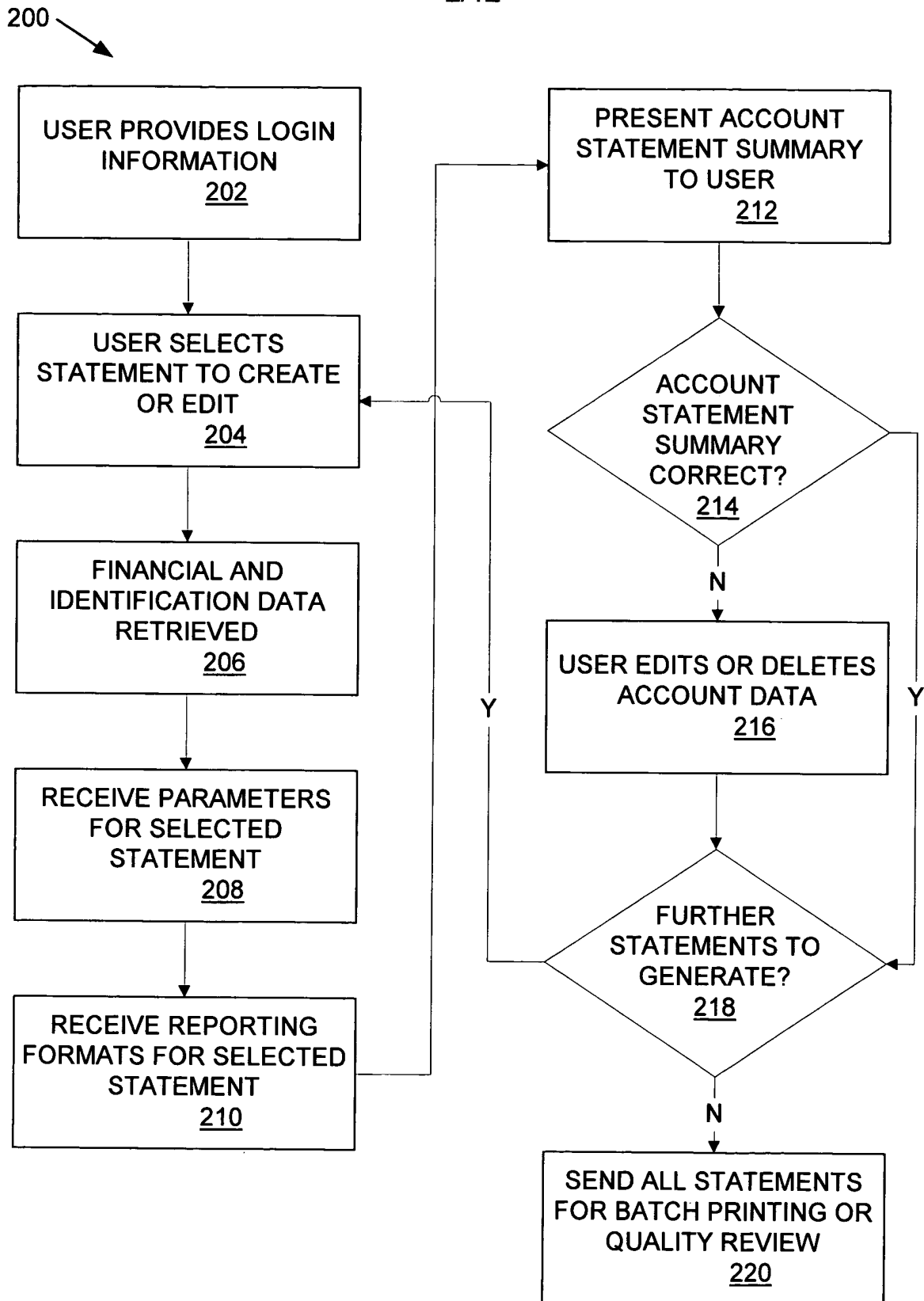


FIG.2

300

302

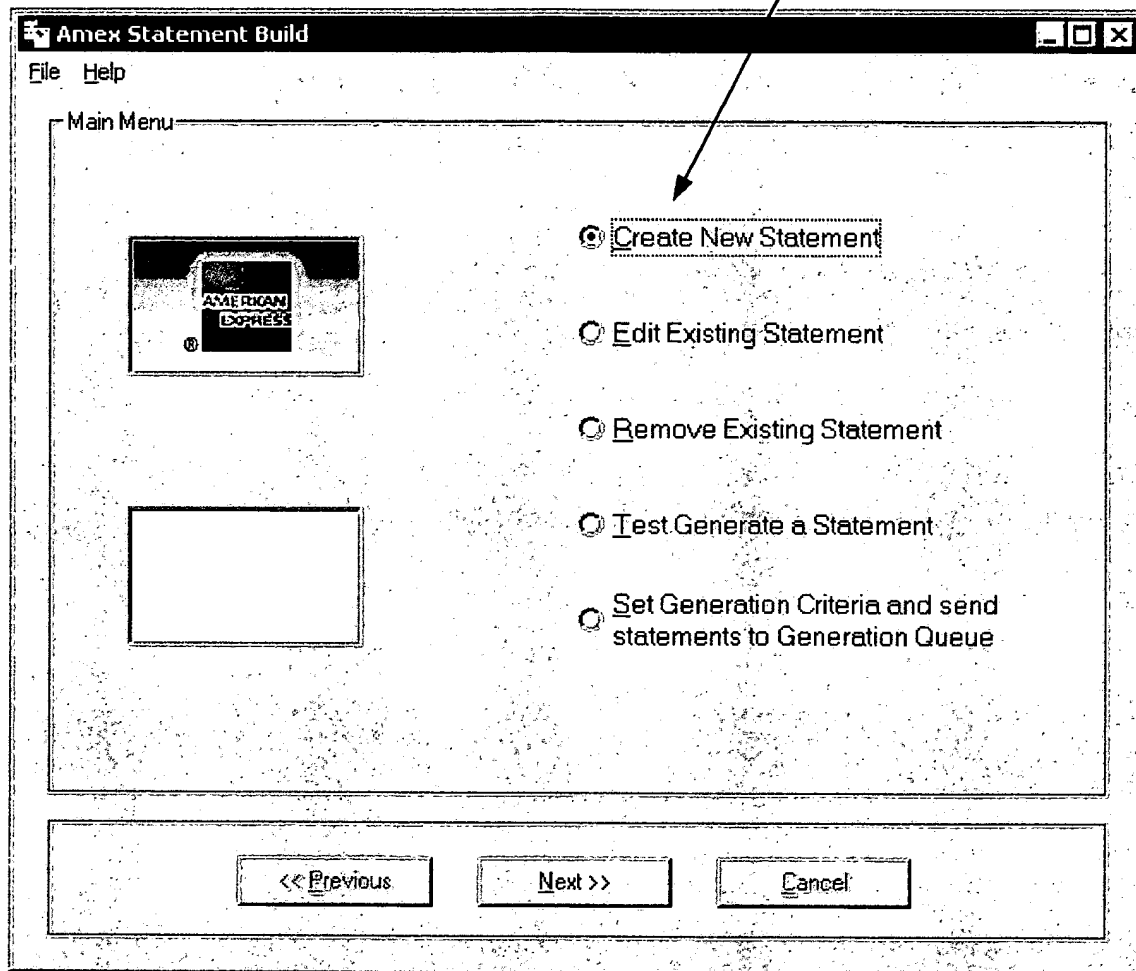


FIG. 3

400

Amex Statement Build

File Help

Select Statement

Account Number: 312

Recipient Name: Steve Krueger

Existing Statements	Status
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Select the Account Number and the Recipient Name for the new statement you want to create. Then type the description of the new statement below.

New Statement Description: A Statement Just for Steve

<< Previous Next >> Cancel

402

FIG. 4

500

Amex Statement Build

File Help

Information on Selected Recipient

Account Number	Statement Description
312	A Statement Just for Steve

Recipient Name: Mr. Krueger
Admin. Salutation: Mr. Steve Krueger Esq.
Marketing Salutation: Steve
Title 1:
Title 2:
Company Name:
Address 1: 754 AXP Financial Center
Address 2: Attn: Lynn Sainati
Address 3:
Address 4:

City: Minneapolis
State/Prov. Initial: MN
County/Province:
ZIP Code: 55474
Country:

Enter Statement Information

Delivery Method: USMail
Sequence Type: MST
Binding Method: Spiral

Statement Type: On Request
Statement Status: Active

Number Of Copies: 1

☒ Quality Review Required
☐ Corporate Copy

<< Previous Next >> Cancel

502

FIG. 5

600

Amex Statement Build

File Help

Statement Description		
Account Number 312	A Statement Just for Steve	Recipient Name Mr. Krueger

Select Report and Sequence

Company	Available Reports		Selected Reports
AMG	433 State of FL Broker Commission Corporate Actions - AMG Dividends Received Interest Received Securities Received Securities Withdrawn Transaction Journal - Pending Transaction Journal - Purchases Transaction Journal - Sales	Add >> << Remove	

Select a report and click the Add button

Select a report and click Remove to remove it, or drag to reorder

<< Previous Next >> Cancel

602

604

FIG. 6

700 →

The screenshot shows a window titled "Amex Statement Build" with a menu bar containing "File" and "Help". The window is divided into several sections. At the top, there is a "Statement Description" section with three fields: "Account Number" with the value "312", "Statement Description" with the value "A Statement Just for Steve", and "Recipient Name" with the value "Mr. Krueger". Below this is a "Select Report Parameters" section. Inside this section, there is a list box titled "Reports requiring parameters" containing two items: "Transaction Journal - Purchases" and "Transaction Journal - Sales". To the right of the list box, there is a label "Enter period (MON, QTR)" followed by a text input field containing the value "MON". At the bottom of the window, there are three buttons: "<< Previous", "Next >>", and "Cancel".

Amex Statement Build

File Help

Statement Description

Account Number 312

A Statement Just for Steve

Recipient Name Mr. Krueger

Select Report Parameters

Reports requiring parameters

Transaction Journal - Purchases

Transaction Journal - Sales

Enter period (MON, QTR) MON

<< Previous Next >> Cancel

702 →

FIG. 7

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800 →

The screenshot shows a software window titled "Amex Statement Build". It has a menu bar with "File" and "Help". The main content area is divided into sections. At the top, there are three fields: "Account Number" with the value "312", "Statement Description" with the value "A Statement Just for Steve", and "Recipient Name" with the value "Mr. Krueger". Below these is a section titled "Summary of Statement Options" which contains a scrollable text area. This area displays a "Summary Report" for "A Statement Just for Steve" with the following details: Account Number : 312, Recipient : Mr. Krueger, Statement Type : On Request, Statement Status : Active, Binding : Spiral, Number of Copies : 1, and Quality Review Needed: Yes. Below the scrollable area are four buttons: "Print Summary", "Save Changes", "Test Generate", and "Return to Menu". At the bottom of the window are three more buttons: "<< Previous", "Next >>", and "Cancel".

Account Number	Statement Description	Recipient Name
312	A Statement Just for Steve	Mr. Krueger

Summary of Statement Options

Summary Report
For
A Statement Just for Steve

Account Number : 312
Recipient : Mr. Krueger
Statement Type : On Request
Statement Status : Active
Binding : Spiral
Number of Copies : 1
Quality Review Needed: Yes

Print Summary Save Changes Test Generate Return to Menu

<< Previous Next >> Cancel

FIG. 8

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900 →

The screenshot shows a Windows-style dialog box titled "Amex Statement Build". It has a menu bar with "File" and "Help". The main area is titled "Set Generation Criteria" and contains the following text:

This screen selects which documents will be sent to the iD Power Writer queue to be generated in a batch run. Each statement will be added depending on its status (Active/Inactive) and when it should print (Monthly/Quarterly).

Selecting AMG will queue all the appropriate AMG statements. Selecting PMG will queue all the PMG statements. If both boxes are selected, both types of statements will be sent to the generation queue. Corporate copy statements will not be selected unless the CORP checkbox is selected as well. You can also set up a run of just corporate copy statements by selecting only the CORP check box.

On the right side, there are three checkboxes, all of which are unchecked:

- ☐ AMG Statements
- ☐ PMG Statements
- ☐ Corporate Copies

At the bottom center is a button labeled "Set Criteria". At the very bottom of the dialog are three buttons: "<< Previous", "Next >>", and "Cancel".

FIG. 9

1000 →

STMT_ID	RPT_GRP_ID	RECIPIENT_ID	STMT_DESC	STMT_ACTV_CODE	STMT_FREQ_CODE	COPIES_NBR	QLTY_REVIEW_IND	CORP_STMT_IND
221	312	87	SteveKr Test	Active	Monthly	6	0	0

FIG. 10

1100 →

RPT_ORD_ID	RPT_SEQ	STMT_ID	MODEL_ID	ORD_PARM1_TEXT	ORD_PARM2_TEXT	ORD_PARM3_TEXT
10248	1	221	HSSHATAMG	Yes	Yes	
10249	2	221	HDMVAMG			

FIG. 11

1200 →

MODEL_ID	BUSN_OWN_C	RPT_CATG_ODE	DESC	RPT_NAME	PARAM1_LABEL_TEXT	PARAM2_LABEL_TEXT
TSCOM	Both		Tran Summary	Commissions		
TSCFPMG	PMG		Tran Summary	Cash Flow - PMG	Enter period (MON, QTR)	
TSCFAMG	AMG		Tran Summary	Cash Flow - AMG	Enter period (MON, QTR)	
TDS	Both		Tran Detail	Transaction Journal - Sales	Enter period (MON, QTR)	
HSSHATAMG	AMG		Holding Summary	Summary of Security Holdings - AMG	Sec Only line (Yes/No)	Prt FYTD column (Yes/No)
HSBPSEA	Both		Holding Summary	Fixed Income Allocation		
HDMVPMG	PMG		Holding Detail	Market Valuation - PMG		
HDMVAMG	AMG		Holding Detail	Market Valuation - AMG		
CSRPTCOM433	AMG		Tran Detail	433 State of FL Broker Commission Report		
CSRPTCMV498	PMG		Tran Summary	498 Brain Science Foundation Chg in Mkt Val		
CSAMAP318	AMG		AMAP	318 Iron Workers Local Union AMAP		
CSAMAP302	AMG		AMAP	302 AMEX Retirement AMAP		
CS47FPSMD	AMG		Performance	47F Portfolio Performance Monitor		
CS302PSMD	AMG		Performance	302 Portfolio Performance Monitor		
BMCOMM	Both		Text Report	Monthly Commentary	Select version	
BLOB161	Both		Text Report	Economic Summary	Enter Report Title	Enter Blob file name
BIPTG	Both		Text Report	Tier Board	Select version	

FIG. 12

1300 →

RPT_GRP_ID	GRP_REAS_CODE	GRP_DESC	GRP_SHORT_NAME	GRP_LONG1_NAME	GRP_LONG2_NAME	GRP_LONG3_NAME	BUS_OWNER_CODE	DSPL_IND
312		312	Cookie Monster's Fund	Big Bird's House			AMG	Y

FIG. 13

1400 →

RPT_GRP_ID	RECIPIENT_ID	START_DATE	END_DATE
312	87	4/26/2002	

FIG. 14


1500 →

RECIPIENT_ID	RECIPIENT_NAME	MAIL_LABEL_NAME	ADM_SLTN_TEXT	MKT_SLTN_TEXT	TITLE1_TEXT	TITLE2_TEXT	COMPANY_NAME	ADDR1_TEXT
2	Steve Krueger	Mr. Krueger	Mr. Steve Krueger Esq.	Steve				754 AXP Financial Center

ADDR2_TEXT	ADDR3_TEXT	ADDR4_TEXT	CITY_NAME	STATE_PROV_NAME	CNTY_PROV_NAME	ZIP_CODE	COUNTRY_NAME	DEL_MTHD_CODE	SPECIAL_PROCC_CODE	END_MTHD_CODE
Attn: Lynn Sainati			Minneapolis	MN		55474		USMail	MST	Spiral


FIG. 15

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1600 

CUR DOC	CUR PER	CUR PER	BUS OWNE	STMT ID
07312002000	7/31/2002	8/7/2002	AMG	1
07312002000	7/31/2002	8/7/2002	AMG	41

FIG. 16

1700 

RQST_ID	RQST_CODE	RQST_DOC_ID	RQST_DESC	RQST_PLCY_NAME	RQST_PLCY_ID	RQST_DOC_NAME
126	3	ModelDoc...	BobTest1	Doc_num	0731200200019	0731200200019
127	3	ModelDoc...	Alana/Test	Doc_num	0731200200019	0731200200020
136	4	ModelDoc...	BobTest1	Doc_num	0731200200019	0731200200019

RQST_ERROR_DESC	RQST_STAT_CODE	RQST_DATE	RQST_APR_IND
	P		B
	P		N
	S		K

FIG. 17